



Daniel Labovitz

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Dan has deep experience as a Senior Regulatory Attorney, with a focus on market structure issues, rule development and interpretation. In addition to serving on the faculty for Fitch Learning, he was the Independent Regulatory Consultant for Nasdaq OMX BX (equities and options), pursuant to a SEC Order, and for Citigroup Global Markets, Inc., pursuant to a FINRA/NYSE Letter of Acceptance, Waiver and Consent.

Additionally, Dan supported a SEC-mandated third-party review of Barclays Capital Inc.; advising on regulatory matters for FMDQ OTC PLC, the primary Nigerian OTC market for fixed income, derivatives, currency and government bonds; and advising an outsourced cloud services provider on financial regulatory issues in emerging markets. He has also acted as an outside Consultant to large broker-dealers on market surveillance and supervisory procedures, and to securities exchanges including BATS, NYSE MKT and the Nigerian Stock Exchange on market structure and rule development.

Dan was previously Vice-President and Head of the Office of General Counsel for NYSE Regulation, where he supervised rule development and interpretation during the NYSE's transition from a primarily manual to a Reg NMS compliant market center; oversaw the Floor Member Continuing Education Program; and served as the NYSE's Regulatory Services Manager, responsible for day- to-day oversight of NYSE member regulation functions that were conducted by FINRA.

Dan has also worked as a Prosecutor for the NYSE's Division of Enforcement, and as a Litigator with Cadwalader, Wickersham & Taft and McDermott, Will & Emery. He is the author of an article on rule development for Focus, the journal of the World Federation of Exchanges. He has also taught securities law at the Zicklin School of Business at Baruch College, City University of New York, and taught legal writing at New York Law School.

Dan holds undergraduate and law degrees from New York University.